



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/792,116	03/02/2004	Charlton E. Lui	MS 3903 73264.02/40062.0059USC EXAMINER	
27488	7590 05/03/2006			
MERCHA)	NT & GOULD (MICRO	ARMSTRONG, ANGELA A		
P.O. BOX 2903 MINNEAPOLIS, MN 55402-0903			ART UNIT	PAPER NUMBER
			2626	
			DATE MAILED: 05/03/2006	

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No. Applicant(s)					
Office Action Commence	10/792,116	LUI ET AL.				
Office Action Summary	Examiner	Art Unit				
	Angela A. Armstrong	2626				
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with	the correspondence a	ddress -			
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication If NO period for reply is specified above, the maximum statutory period v - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICA 36(a). In no event, however, may a reply vill apply and will expire SIX (6) MONTHS 1, cause the application to become ABAN	TION.  be timely filed  from the mailing date of this DONED (35 U.S.C. § 133).				
Status						
1)⊠ Responsive to communication(s) filed on <u>02 M</u>	arch 2004					
<u> </u>	action is non-final.					
3) Since this application is in condition for allowar		nrosecution as to th	o morite is			
closed in accordance with the practice under E	·	•	ie ments is			
Disposition of Claims	A parto quayio, 1000 0.D. 1	1, 400 0.0. 210.				
·						
	Claim(s) <u>1-39</u> is/are pending in the application.					
4a) Of the above claim(s) is/are withdray	vn from consideration.					
5) Claim(s) is/are allowed.						
6) Claim(s) <u>1-39</u> is/are rejected.						
7) Claim(s) is/are objected to.						
8) Claim(s) are subject to restriction and/or	relection requirement.					
Application Papers						
9) The specification is objected to by the Examine	r.		•			
10) The drawing(s) filed on is/are: a) acce	epted or b) objected to by	the Examiner.				
Applicant may not request that any objection to the	drawing(s) be held in abeyance.	See 37 CFR 1.85(a).				
Replacement drawing sheet(s) including the correcti	ion is required if the drawing(s)	is objected to. See 37 C	FR 1.121(d).			
11)☐ The oath or declaration is objected to by the Ex	aminer. Note the attached O	ffice Action or form P	TO-152.			
Priority under 35 U.S.C. § 119						
12) ☐ Acknowledgment is made of a claim for foreign a) ☐ All b) ☐ Some * c) ☐ None of:	priority under 35 U.S.C. § 11	19(a)-(d) or (f).				
<ol> <li>Certified copies of the priority documents</li> </ol>	s have been received.					
2. Certified copies of the priority documents	s have been received in Appl	ication No				
<ol><li>Copies of the certified copies of the prior</li></ol>	ity documents have been red	ceived in this Nationa	l Stage			
application from the International Bureau	(PCT Rule 17.2(a)).					
* See the attached detailed Office action for a list of	of the certified copies not rec	eived.				
Attachment(s)						
Notice of References Cited (PTO-892)	4) 🔲 Interview Sum					
2) Notice of Draftsperson's Patent Drawing Review (PTO-948)		ail Date nal Patent Application (PT	O-152)			
B) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  Paper No(s)/Mail Date	6) Other:	пат асті друкавон (РТ	V-132j			

# Page 2

### **DETAILED ACTION**

### Claim Rejections - 35 USC § 101

1. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claims 1-39 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter. The claimed invention, a series of steps to be performed by a computer, fails to produce a useful, concrete and tangible result. The claimed invention to recite any limitations or elements of a computer that define any structural and functional interrelationships between the series instructions and other elements of a computer which permit the computer program's functionality to be realized..

# **Double Patenting**

2. A rejection based on double patenting of the "same invention" type finds its support in the language of 35 U.S.C. 101 which states that "whoever invents or discovers any new and useful process ... may obtain a patent therefor ..." (Emphasis added). Thus, the term "same invention," in this context, means an invention drawn to identical subject matter. See *Miller v. Eagle Mfg. Co.*, 151 U.S. 186 (1894); *In re Ockert*, 245 F.2d 467, 114 USPQ 330 (CCPA 1957); and *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970).

A statutory type (35 U.S.C. 101) double patenting rejection can be overcome by canceling or amending the conflicting claims so they are no longer coextensive in scope. The filing of a terminal disclaimer <u>cannot</u> overcome a double patenting rejection based upon 35 U.S.C. 101.

3. Claims 8, 21, and 34 are rejected under 35 U.S.C. 101 as claiming the same invention as that of claims 1, 13, and 25, respectively of prior U.S. Patent No. 6,720,980. This is a double patenting rejection.

Application/Control Number: 10/792,116

Art Unit: 2629

4. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPO 644 (CCPA 1969).

Page 3

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

5. Claims 1-7, 9-20, 22-33, and 35-39 are rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-36 of U.S. Patent No. 6,720,980. Although the conflicting claims are not identical, they are not patentably distinct from each other because both inventions are drawn to methods of embedding voice data in a computing system.

### Conclusion

- 6. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.
- 7. Qua et al (US Patent No. 6,222,909) discloses an audio note taking system and method for communication devices.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Angela A. Armstrong whose telephone number is 571 272 7598. The examiner can normally be reached on 9:00 am to 5:30 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David Hudspeth can be reached on 571 272 7843. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Angela A. Armstrong Primary Examiner Art Unit 2626

AAA May 01, 2006 Angela a. Amstronez